STATE OF UTAH DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING APPLICATION FOR LICENSURE

LICENSED SUBSTANCE ABUSE COUNSELOR CERTIFIED SUBSTANCE ABUSE COUNSELOR CERTIFIED SUBSTANCE ABUSE COUNSELOR INTERN

APPLICATION INSTRUCTIONS AND INFORMATION

General Statement: The Utah Division of Occupational and Professional Licensing (DOPL) desires to provide courteous and timely service to all applicants for licensure. To facilitate the application process, submit a complete application form including all applicable supporting documents and fees. Failure to submit a complete application and supply all necessary information will delay processing and may result in denial of licensure. The fees are for processing your application and will not be refunded. Please read all instructions carefully.

Address of Record: The address you provide on this application will be your address of record. All correspondence from DOPL will be sent to that address. You are responsible to directly notify DOPL of any change to your address of record. Do not rely on a forwarding order.

Social Security Number: Your social security number (SSN) is classified as a private record under the Utah Government Records Access and Management Act. It is used by DOPL as an individual identifier. It is also used for child support enforcement pursuant to Subsection 78-32-17(3) and is mandatory pursuant to Subsection 58-1-301(1), Utah Code Ann., which implements 42 U.S.C. 666(a)(13). If an SSN is not provided, the application is incomplete and may be denied.

SUPPORTING DOCUMENTS AND FEES FOR <u>LICENSED</u> AND <u>CERTIFIED</u> SUBSTANCE ABUSE COUNSELOR APPPLICATIONS:

- 1. Submit an official college transcript or if you do not have a college degree with the required major, submit a copy of your high school diploma or GED. Have the school mail this documentation to DOPL or include with your application. To be official, a transcript must bear the school seal and be enclosed in a sealed envelope. The School's seal/stamp must also be on the flap of the sealed envelope.
- 2. Submit a copy of the score report showing a passing score on the written National Association of Alcohol and Drug Abuse Counselors (NAADAC) National Certification Exam Level I, II, or MAC. To register for or obtain information regarding the NAADAC exam, contact the Association of Utah Substance Abuse Professionals (AUSAP) at (801) 335-0537 or http://naadac.org/ut/. Before January 1, 2010, a passing score on the International Certification Examination for Alcohol and Drug Counselors (ICRC/AODA) may be submitted in lieu of verification of a passing score on the NAADAC exam.
- 3. Submit an official transcript or certificate from an accredited institution or higher education verifying completion of the substance abuse education program.
- 4. If you are currently licensed in another state as a substance abuse counselor, use the "Request

DOPL-AP-060 Rev 2011-04-26

1

- for Verification of License" form (*attached to this application*) to obtain verification of such. Request that the verifying state(s) complete the form(s) and mail them directly to DOPL or return them to you for submission with your application.
- 5. Submit a "Verification of Supervised Experience" form (*attached to this application*) completed by each of your supervisors if you are applying for the licensed substance abuse counselor only. The form must be completed in it's entirety by the supervisor.
 - If your supervisor is out of state, please provide a copy of their license and resume.
- 6. Submit one of the following fees as a non-refundable application-processing fee, made payable to "DOPL."
 - o Licensed Substance Counselor \$85.00
 - o Certified Substance Counselor \$70.00

INFORMATION FOR CERTIFIED SUBSTANCE ABUSE COUNSELOR INTERN

- 1. Submit an official college transcript or if you do not have a college degree with the required major, submit a copy of your high school diploma or GED. Have the school mail this documentation to DOPL or include with your application. To be official, a transcript must bear the school seal and be enclosed in a sealed envelope. The School's seal/stamp must also be on the flap of the sealed envelope.
- 2. Submit the \$70.00 non-refundable application-processing fee, made payable to "DOPL."
- 3. Applicants who meet the requirements for the Certified Substance Abuse Counselor except for passing the examination may apply for a Certified Substance Abuse Counselor Intern license which is limited to the time necessary to pass the examination required or six months, whichever occurs first.

ADDITIONAL IMPORTANT INFORMATION:

- 1. **Laws and Rules:** You are required to understand all Utah laws and rules pertaining to your practice. The following applicable laws and rules are available on the Internet at www.dopl.utah.gov:
 - □ Division of Occupational & Professional Licensing Act
 - □ General Rule of the Division of Occupational & Professional Licensing
 - □ Mental Health Professional Practice Act
 - □ Mental Health Professional Practice Act Rule
 - □ Substance Abuse Counselor Licensing Act Rule
 - □ For explanation of each license classification refer to Utah Code, section 58-60-506

2. Supervised Experience:

- The experience must be supervised at a ratio of one hour of face-to-face direct supervision for every 40 hours of substance abuse counseling services you provide.
- Applicants with a bachelor's degree in substance abuse or a behavioral science must document completion of 2,000 hours of supervised experience.
- Applicants with a high school diploma, GED or bachelors degree in any other field must document completion of 4,000 hours of supervised experience.
- 3 **Current Documents:** Applications, statutes, rules, and forms are occasionally changed. Go to www.dopl.utah.gov to ensure you have the most recent version of these documents.

4. **License Renewal:** Most substance abuse counselor licenses expire on May 30 of each odd-numbered year. Verify the expiration date on your license.

Unlike many other states, Utah's license renewal schedule **is not** based on the licensee's date of initial licensure. Under Utah's renewal system, all licenses in each profession expire as a group on the same day every two years. Therefore, the length of a licensee's first renewal cycle depends on how far into the current renewal cycle initial licensure was obtained. Each renewal cycle thereafter is for a full two years.

Additionally, the fee paid with this application for licensure is an application-processing fee only. It does not include a renewal fee. Each licensee is responsible to renew licensure **PRIOR** to the expiration date shown on the current license. Approximately two months prior to the expiration date shown on the license, renewal information is disseminated to each licensee's last address of record, as provided to DOPL.

- 5. **Examination Fees:** There are separate fees for all examinations. It is the responsibility of the applicant to submit the fees directly to the testing agency.
- 6. **Updating Address Information:** It is your responsibility to maintain a current address with DOPL. If your address is incorrect, you will not receive renewal notices or other correspondence. Address changes can be made online at www.dopl.utah.gov.
- 7. **Name Change:** If you have been licensed by DOPL under any other name, please submit legal documentation of your name change (*i.e. copy of a marriage license or divorce decree*).
- 8. **Ceremonial Certificate of Licensure:** After obtaining your license from DOPL, you can order a Ceremonial Certificate of Licensure, printed on parchment paper with original signatures and an embossed gold seal. Order forms can be obtained at www.dopl.utah.gov.

9. **Submit Completed Application to:**

| | Division of Occupational & Professional Licensing |
|-----------------|---|
| By U.S. Mail | P.O. Box 146741 |
| | Salt Lake City UT 84114-6741 |
| | Division of Occupational & Professional Licensing |
| By Express Mail | 1 st Floor Lobby |
| or In Person | 160 E 300 S |
| | Salt Lake City UT 84111-2305 |

10. **Telephone Numbers:** (801) 530-6628

(866) 275-3675 – toll-free in Utah

BLANK PAGE

(FOR TWO-SIDED PRINTING

APPLICATION FOR LICENSURE

APPLICATION FOR: (Check only one.)

□ LICENSED SUBSTANCE ABUSE COUNSELOR
□ CERTIFIED SUBSTANCE ABUSE COUNSELOR
□ CERTIFIED SUBSTANCE ABUSE COUNSELOR
INTERN

| ***Please list your <u>full legal name</u> as | it appears | on your driver's lice | nse, Soc | ial Security | y Card, etc.*** |
|--|---|--|-----------|--------------|-------------------|
| Last Name: | First Name: | | M | iddle Name | e: |
| Social Security Number: | | Maiden Name: | | | |
| I certify under penalty of perjury that: | | | | | |
| I am a citizen of the United Sta I am a qualified alien as define understand that I am required t photo and evidence of one, or t Alien ID Number I am a foreign national not phy respond to the following section.) | ed in 8 U.S.C o visit DOP poth of the fo I-94 Nu | L's offices and presen ollowing: umber | at a gove | rnment issu | ned ID bearing my |
| I have a valid Driver License of I do not have a Driver License Department of Commerce will | . I am legally | y present in the United | | and I under | |
| Mailing Address: | | | | | |
| City: | | | | State: | ZIP: |
| Male Date of Birth: | Phone # | : | E-Ma | il: | |
| List all other licenses, registrations, or cer any profession. (Use additional sheets if necessary.) | tifications is | sued by any state whi | ch you n | now hold or | have ever held in |
| Profession: | Issu | ing State: | | | |
| License Number: | Lice | nse Status: | Issu | e Date: | |
| Profession: | Issu | ing State: | | | |
| License Number: | Lice | nse Status: | Issu | e Date: | |
| Profession: | Issu | ing State: | | | |
| License Number: | Lice | nse Status: | Issu | e Date: | |
| Profession: | | ing State: | | | |
| License Number: | Lice | nse Status: | Issu | e Date: | |
| DO NOT WRITE IN THIS SECTION - | | | | | |
| License/Certificate Number: | | | | | |
| Date License/Certificate Approved:// | | | | | |
| Approved By: | | | | | |
| Date License/Certificate Denied:// | | | | | |
| Denied By: | | | | | |
| Reason for Denial/Other Comments: | | | | | |

AFFIDAVIT and RELEASE AUTHORIZATION

- 1. I certify under penalty of perjury that I am a United States citizen, a qualified alien as defined in 8 U.S.C. Sec. 1641, or I am lawfully present in the United States.
- 2. I certify that am qualified in all respects for the license for which I am applying in this application.
- 3. I certify that to the best of my knowledge, the information contained in the application and its supporting document(s) is free of fraud, forgery, misrepresentation, omission of material fact; is truthful, correct, and complete; discloses all material facts regarding the applicant; and that I will update or correct the application as necessary, prior to any action on my application.
- 4. I authorize all persons, institutions, organization, schools, governmental agencies, employers, references, or any others not specifically included in the preceding characterization, which are set forth directly or by reference in this application, to release to the Division of Occupational and Professional Licensing, State of Utah, any files, records, or information of any type reasonably required for the Division of Occupational and Professional Licensing to properly evaluate my qualifications for licensure/certification/registration by the State of Utah.
- 5. I understand that it is the continuing responsibility of applicants and licensees to read, understand, and apply the requirements contained in all statutes and rules pertaining to the occupation or profession for which you are applying, and that failure to do so may result in civil, administrative, or criminal sanctions.

Signature of Applicant: ______ Date of Signature: ____/___/

| EDUCATION REQUIREMENT: (Use additional sh | neets if necessary.) |
|--|--|
| HIGH SCHOOL OR EQUIVALENT: | |
| Name: | Dates Attended:to |
| Location: | |
| Degree Received: | Date of Graduation:/ |
| PROFESSIONAL EDUCATION: Name: | Dates Attended:to |
| Location: | |
| Degree Received: | Date of Graduation:// |
| Major: | _Minor (<i>if any</i>): |
| EXAMINATION REQUIREMENT: (Answer "yes" ☐ Yes ☐ No National Association of Alcohol and Certification Exam Level I, II, or M. | Drug Abuse Counselors (NAADAC) National |
| ☐ Yes ☐ No Before January 1, 2010, the Internat Drug Counselors | cional Certification Examination for Alcohol and |

RECORD OF EMPLOYMENT: List each place of supervised professional employment. Please show month and year for each. (Use additional sheets if necessary.) Employer: Telephone: City: _____ State: ____ Zip: ____ Contact Person: Dates of Employment: ___/___ to ___/____ Position and Duties: Employer: Telephone: Address: City: _____ State: ____ Zip: ____ Contact Person: Dates of Employment: ___/___ to ___/____ Position and Duties:)

BLANK PAGE

(FOR TWO-SIDED PRINTING)

DOPL-AP-060 Rev 2011-04-26

8

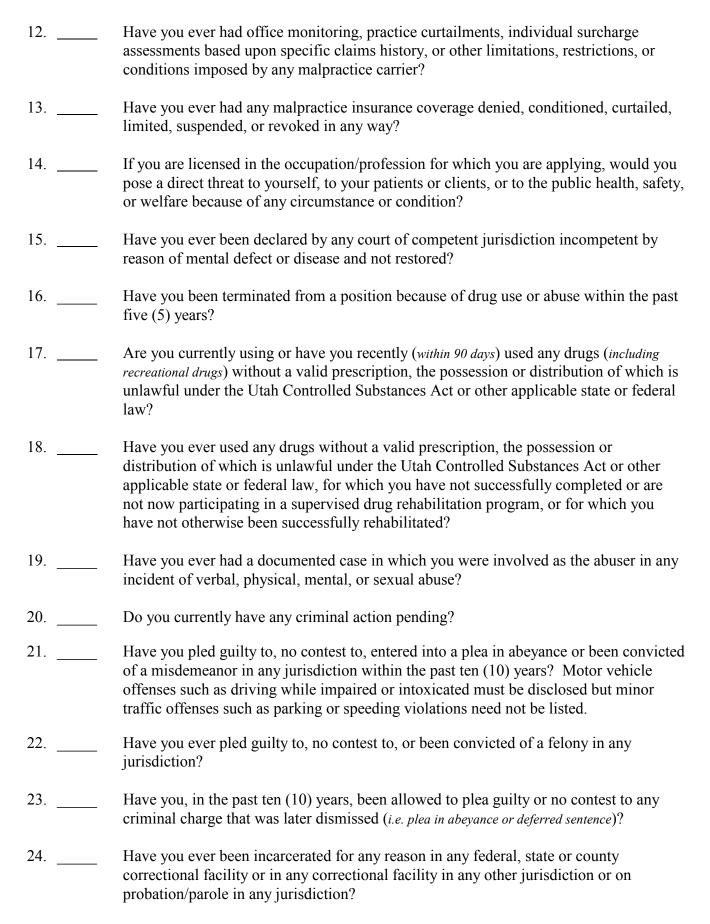
LICENSED SUBSTANCE ABUSE COUNSELOR CERTIFIED SUBSTANCE ABUSE COUNSELOR CERTIFIED SUBSTANCE ABUSE COUNSELOR INTERN

QUALIFYING QUESTIONNAIRE

| Answer | "yes" or "no" for each question. Do not leave any question blank. |
|--------|--|
| 1 | Have you ever applied for or received a license, certificate, permit, or registration to practice in a regulated profession under any name other than the name listed on this application? |
| 2 | Have you ever been denied the right to sit for a licensure examination? |
| 3. | Have you ever had a license, certificate, permit, or registration to practice a regulated profession denied, conditioned, curtailed, limited, restricted, suspended, revoked, reprimanded, or disciplined in any way? |
| 4 | Have you ever been permitted to resign or surrender your license, certificate, permit, or registration to practice in a regulated profession while under investigation or while action was pending against you by any health care profession licensing agency, hospital or other health care facility, or criminal or administrative jurisdiction? |
| 5 | Are you currently under investigation or is any disciplinary action pending against you now by any licensing agency? |
| 6 | Is any action related to your conduct or patient care pending against you now at any hospital or health care facility? |
| 7 | Have you ever had rights to participate in Medicaid, Medicare, or any other state or federal health care payment reimbursement program denied, conditioned, curtailed, limited, restricted, suspended, or revoked in any way? |
| 8 | Have you ever been permitted to resign from Medicaid, Medicare, or any other state or federal health care payment reimbursement program while under investigation or while action was pending against you by any licensing agency, hospital, or other health care facility, or criminal or administrative jurisdiction? |
| 9 | Is any action pending against you now by Medicaid, Medicare, or any other state or federal health care payment reimbursement program? |
| 10 | Is any action pending against you now by either the Federal Drug Enforcement Administration or any state drug enforcement agency? |
| 11 | Have you been named as a defendant in a malpractice suit? |

(Continued on the next page.)

9



(Continued on the next page.)

If you answered "yes" to questions 20, 21, 22, 23, or 24 above, you must submit a complete narrative of the circumstances that occurred for EACH and EVERY conviction, plea in abeyance, and/or deferred sentence. You must also attach copies of all applicable police report(s), court record(s), and probation/parole officer report(s).

If you are unable to obtain any of the records required above, you must submit documentation on official letterhead from the police department and/or court indicating that the information is no longer available.

If you have formally expunged a criminal record as evidenced by a court order signed by a judge, you do not need to disclose that criminal history. Expungement orders must be sent to the Bureau of Criminal Identification and the FBI to enable the expungement to be completed and the criminal history eliminated from the records.

If you answered "yes" to any of the above questions, enclose with this application complete information with respect to all circumstances and the final result, if such has been reached.

A "yes" answer does not necessarily mean you will not be granted a license; however, DOPL may request additional documentation if the information submitted is insufficient.

BLANK PAGE

(FOR TWO-SIDED PRINTING)

Division of Occupational and Professional Licensing 160 East 300 South, P.O. Box 146741 Salt Lake City, Utah 84114-6741

Fax: (801) 530-6511

REQUEST FOR VERIFICATION OF LICENSE

(Use this form to verify licensure from another state, if applicable.)

PART 1 - TO BE COMPLETED BY THE APPLICANT:

Complete the first section of the form. Request that the verifying state complete the form and mail it directly to DOPL or return it to you for submission with your application.

| Applicant's Name: | | |
|-------------------------------|------------------------------------|--|
| Street Address: | | |
| City: | State: | Zip: |
| I am requesting licensure in | the state of Utah as a: | |
| I am/have been licensed in | your state under the name: | |
| My Social Security Number | · is: | |
| My Date of Birth is:/_ | _/ | |
| My license number in your | state is/was: | |
| I have enclosed the necessar | ry license verification fee in | n the amount of: |
| Signature of Qualifier: | | |
| Date of Signature:/ | | |
| PART 2 - TO BE COMPI | ETED BY THE VERIFY | ING AGENCY: |
| form in an envelope, seal th | e envelope and provide it to | fy the document, and place the completed of the applicant in person or by mail. The his/her Utah application. Thank you. |
| Name of Verifying State: _ | | |
| Name of Licensee (as it appe | ars in verifying state's records): | |
| Name of Qualifying Person | : | |
| Classification of License Iss | sued: | |
| | | (Continued on the next page) |

| License Number: | Current Status: |
|--|--|
| Original Date of Licensure:// E | expiration Date:/ |
| Continuously Licensed: | |
| ☐ Yes ☐ No, please explain: | |
| Licensed By: | |
| ☐ Exam, Type: | Date:/ |
| ☐ Endorsement, from what state? | |
| Examination Scores: | |
| Education Required For Licensure: | |
| Disciplinary Action or Pending Disciplinary Ac | tion: |
| ☐ No ☐ Yes, please provide certification | ed copies of all Petitions, Orders, etc. |
| Signature: | Title: |
| Agency: | |
| Date of Signature:/ | |
| (SEAL) | |

Division of Occupational and Professional Licensing 160 East 300 South, P.O. Box 146741 Salt Lake City, Utah 84114-6741

FAX: (801) 530-6511

VERIFICATION OF SUPERVISED EXPERIENCE

| TO BE COMPLETED IN ITS ENTIRETY BY EACH SUPERVISOR OF THE REQUIRED SUPERVISED EXPERIENCE HOURS: |
|---|
| Applicant's Name: |
| LSAC Supervisor's Name: |
| LSAC Supervisor's License Issued: State: License #: |
| MHT Supervisor's Name: |
| MHT Supervisor's License Issued: State: License #: |
| MHT Profession: Year: |
| Facility Name: Phone #: |
| Facility Street Address: |
| City: State: Zip: |
| Inclusive Dates of Supervised Experience: from/to/to |
| Total Hours of Face-to-Face Supervision: Total Hours Worked: |
| The hours worked and supervised are reported on the basis of: |
| ☐ Supervisor's appointment calendars or records |
| ☐ Supervisor's best recollection |
| Description of Applicant's Duties: |
| 1 |
| |
| Licensed Substance Abuse Counselor Supervisor: |
| I supervised the applicant at a ratio of one hour of face-to-face direct supervision for every 40 hours of substance abuse counseling services. |

| | (Continued on the next page.) |
|-----------------|--|
| | I attest that the applicant's performance was satisfactory. If less than satisfactory, please attach an explanation regarding the nature of problem, recommendation and remediation. |
| | I certify that I am a licensed substance abuse counselor in good standing and I am a qualified supervisor in accordance with Statute and Rules. |
| | I certify that I am professionally responsible for the acts and practices of the applicant which are a part of the required supervised training. |
| Signature of LS | SAC Supervisor:Date:/ |
| | by a Licensed Substance Abuse Counselor both the supervising Licensed Substance elor signatures and Mental Health Therapist signatures are required. |
| Mental Health | Therapist Supervisor: |
| | I supervised the applicant at a ratio of one hour of face-to-face direct supervision for every 40 hours of substance abuse counseling services. |
| | I attest that the applicant's performance was satisfactory. If less than satisfactory, please attach an explanation regarding the nature of problem, recommendation and remediation. |
| | I certify that I am a licensed mental health therapist in good standing and I am a qualified supervisor in accordance with Statute and Rules. |
| | I certify that I am professionally responsible for the acts and practices of the applicant which are a part of the required supervised training. |
| Signature of Mo | ental Health Therapist Supervisor:Date:/ |

Division of Occupational and Professional Licensing 160 East 300 South, P.O. Box 146741 Salt Lake City, Utah 84114-6741

Fax: (801) 530-6511

VERIFICATION OF ACTIVE PRACTICE AS A LICENSED SUBSTANCE ABUSE COUNSELOR (For Endorsement Only)

| Licenza Number | | ura: |
|---|------------------------------|------------------------------|
| License Number: | | ure: |
| Name of Person Verifying Employment: _ | | |
| Relationship to Applicant: | | |
| Name of Employer: | | |
| Employer Address: | | |
| Employer City: | State: | Zip: |
| Employer Phone Number: | | |
| charitable corporation, school, college, uni | - Totally, needsed nearth re | territy of other) |
| Dates applicant was employed with this ag | | |
| What was the applicant's schedule? \Box fu | ll-time □ part-time | |
| Was the applicant contracted labor: Yes | s 🗖 No | |
| | | setting where the experience |
| Did the applicant and supervisor work with were obtained? Yes No If No, plo | 1 2 | |
| 1 1 | 1 2 | |
| 1 1 | 1 2 | |

| In what time of ampleyment getting was the synomision danc? |
|--|
| In what type of employment setting was the supervision done? |
| ☐ self-employed in a private practice |
| □ voluntary |
| ☐ paid: Indicate who paid the supervisor: |
| What were the dates of the supervision: from/ to/ |
| Is the applicant still employed with agency? \square Yes \square No |
| If no, is the applicant re-hirable? \(\begin{aligned} \Pi \text{ Yes} \\ \Bigcup \text{ No} \end{aligned} \) |
| This document is proof that the applicant has been actively engaged in legal practice as a licensed substance abuse counselor and has completed not less then 4,000 hours of experience. |
| Name: Title: |
| Date of Signature:/ |
| |
| |